

# The Use of CAPE Tools: Why, How, for What purposes ?

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What is CAPE

What are CAPE Tools

When are CAPE Tools used

Some CAPE Tools Examples

Conclusions



# What is CAPE ?

Computers and Chemical Engineering

Process Modeling Simulation and Control (PMSC)

Process System Engineering

CAPE : Computer Aided Process Engineering *application of a systems approach to the study of whole processes and their control, safety environmental protection and utility systems as an integrated whole, from the viewpoints of development, design and operation*



# What are CAPE Tools ?

## Computer Tools Used by Process Engineers ?

- *Artificial Intelligence*
- *Biochemical Engineering, Pharmaceuticals*
- *CAD/CAM, Drafting*
- *Data Acquisition/Management*
- *Data Conversion*
- *Drying*
- *Electrical Engineering*
- *Environmental Control, Waste Management*
- *Equipment Design*
- *Fluid Dynamics, Particle Dynamics, Flow Analysis*
- *Graphics*
- *Heat Transfer*
- *Materials*
- *Mathematics, Statistics*
- *Mechanical Engineering*
- *Network Optimization*
- *Nuclear Engineering*
- *Patent and Literature Search and Retrieval*
- *Petroleum/Gas/Energy Production*
- *Physical and Chemical Properties*
- *Process Control, System Analysis, Automatic Control Process Measurement*
- *Process Design/Simulation*
- *Process Economics, Costing, Investment Analysis*
- *Programming and Software Development Tools*
- *Project and Production Management, Scheduling, Inventory, Maintenance*
- *Reaction Kinetics, Reactor Design, Catalysts*
- *Regulations*
- *Reliability, Failure Analysis, Risk Analysis*
- *Safety, Material Safety Data Sheets*
- *Scientific Word Processing*
- *Separation (Absorption, Distillation, Extraction, etc.)*
- *Software Utilities*
- *Teaching Modules*
- *Thermodynamics*



# CAPE Tools Main Characteristics

- Software tools including models of the process
  - *Several levels of complexity depending on the objectives, generally macro-level models*
  - *Models of the process and its behavior*
  - *Models containing knowledge of the phenomena*
  - *“First Principle” Models*
  - *Representation of the underlying physical and chemical behavior of the materials being processed*
  - *Using others technologies: thermodynamics, thermophysical properties, chemistry, advanced IT, costing/economic evaluation or CFD (integrated or interfaced)*
- Tools providing a global view of the process
  - *Evaluation within the context of the whole process*
  - *Simultaneous consideration of several aspects: process, control, operability, safety, utility, ... (concurrent engineering)*
  - *Integrated development of Products and Processes*



# When are CAPE Tools used ?

- Historically: mainly in process design
  - *Flowsheet simulation*
  - *Equipment design & rating*
- Today: at each stage of the Process Life Cycle
  - *Research and Development*
    - ⇒ *assessment of the interactions between chemistry, thermodynamics,...*
  - *Conceptual and Detailed Design*
    - ⇒ *development of new process structures*
    - ⇒ *analysis of the process structure behavior*
    - ⇒ *equipment design,...*
  - *Operations*
    - ⇒ *evaluation, tuning and optimization of process performance*
    - ⇒ *visualization of the plant behavior by operators and management*
    - ⇒ *safety and environmental impacts,...*



# Main CAPE Tools

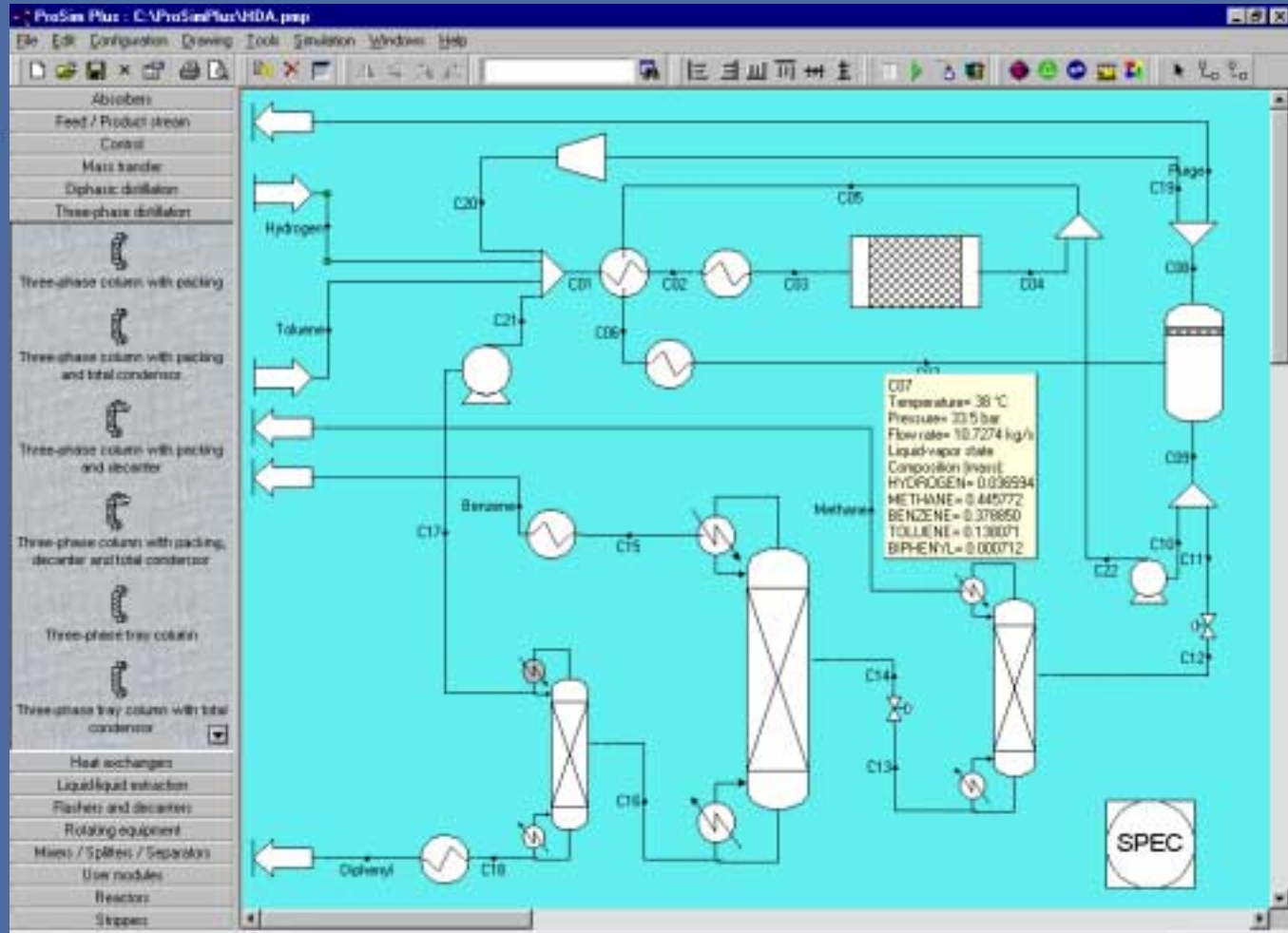
- Process simulation
  - *General-purpose steady-state flowsheet simulators*
  - *Batch operation simulators*
  - *Dynamic simulators for operators training, control system validation, operability analysis, safety...*
- Thermodynamic properties
- Process synthesis
  - *Heat Exchangers Networks, Distillation Sequences,...*
- Equipment rating and design
  - *Heat Exchangers, Distillation Columns, Chemical Reactors,...*
- Data Validation and Analysis
- Scheduling
- On-line process performance optimization

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*And much more...*



# General-Purpose Steady-State Process Simulator



*A library of unit operation models (distillation columns, heat exchangers, chemical reactors, pumps,...)*



# General-Purpose Steady-State Process Simulator: a simple example

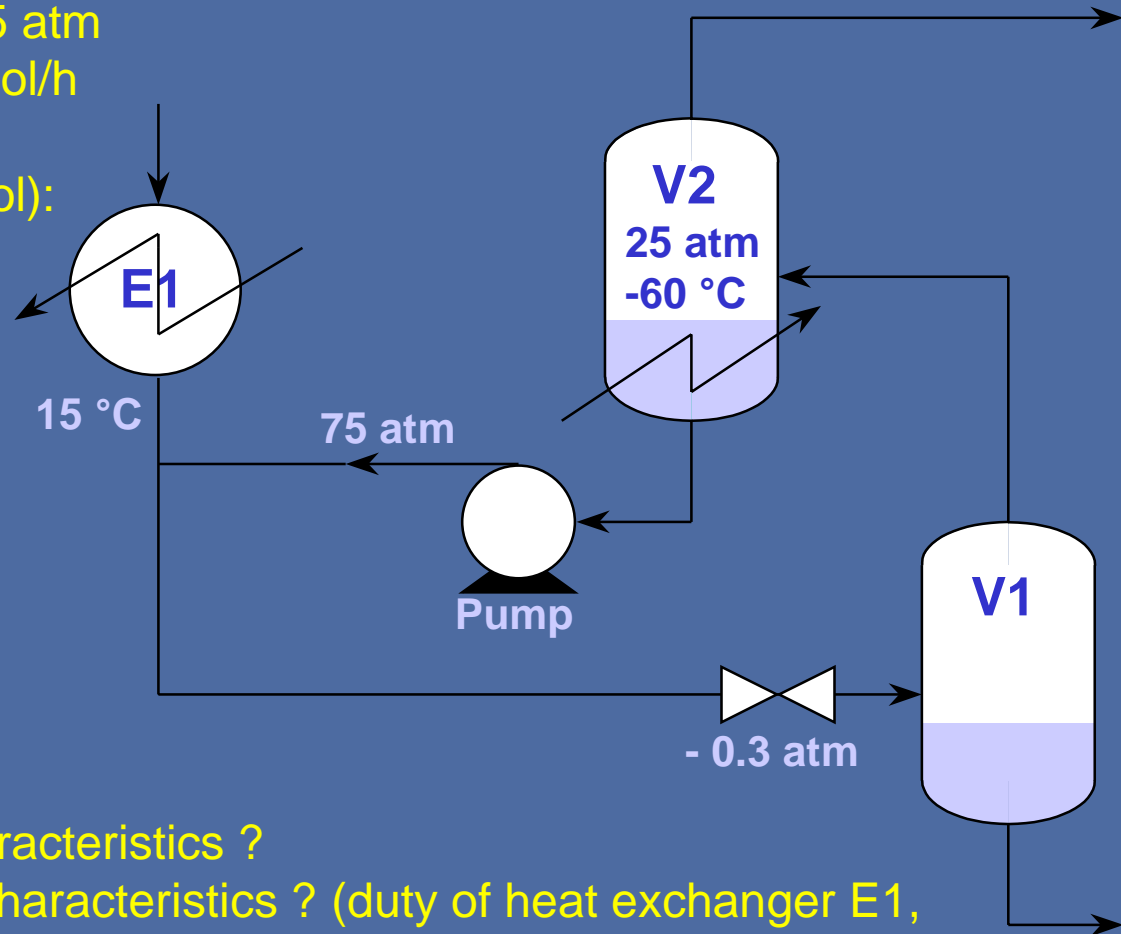
Feed

$T = 40\text{ }^{\circ}\text{C}$     $P = 75\text{ atm}$

Flowrate = 100 Kmol/h

Composition (% mol):

|          |      |
|----------|------|
| Nitrogen | 9.0  |
| Methane  | 41.7 |
| Ethane   | 11.2 |
| Propane  | 6.2  |
| Butane   | 5.4  |
| Pentane  | 3.0  |
| Hexane   | 8.1  |
| Heptane  | 13.3 |
| Octane   | 2.1  |



Other streams characteristics ?

Equipment items characteristics ? (duty of heat exchanger E1, power of pump, heat to remove from V2,...)



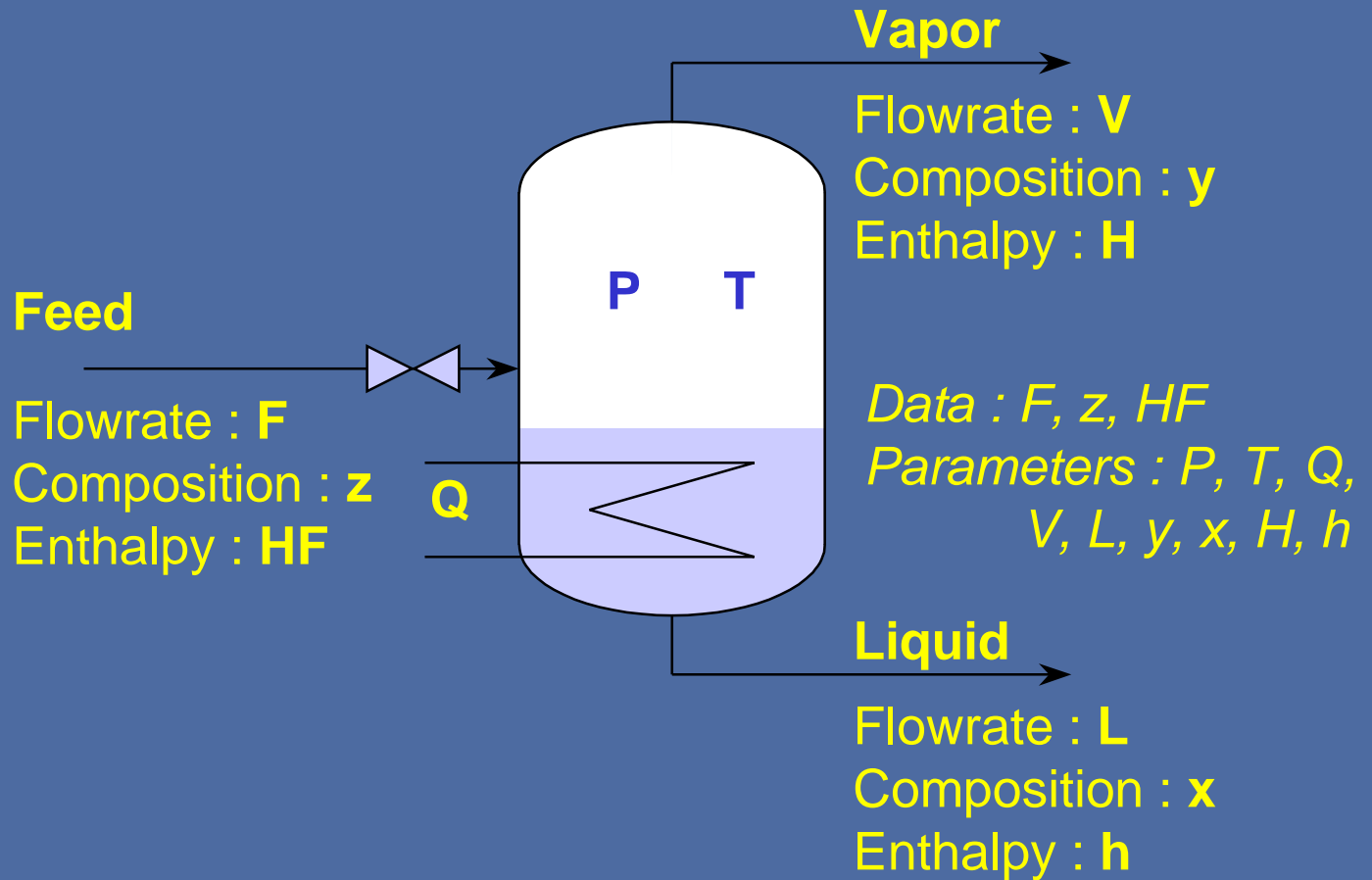


# General-Purpose Steady-State Process Simulator

- Performs complete and rigorous calculations of heat and mass balances equations for all the operating units and a whole process
  - *Calculation of all process streams characteristics (flow-rate, temperature, pressure, composition, physical properties,...)*
  - *Calculation of process data required for equipment sizing*
- Typical application in process design
  - *Process flow-sheet design*
  - *Choice of unit operations and of their arrangement*
  - *Sensitivity analysis to operating conditions*
  - *Process evaluation according to several criteria: cost, risk,....*
- Typical application in plant operations
  - *Optimization of operating conditions*
  - *Revamping studies to face new regulations (safety, pollution, ...)*
  - *Debottlenecking of units*
  - *Analysis of operation with new feeds or products quality changes*



# General-Purpose Steady-State Process Simulator: example of a flash unit



# General-Purpose Steady-State Process Simulator: example of a flash unit

## Mathematical model

(flash without chemical reaction)

- ◆ Global mass balance :  $L + V - F = 0$
- ◆ Partial mass balances :  $L \cdot x_i + V \cdot y_i - F \cdot z_i = 0$
- ◆ Enthalpy balance :

$$L \cdot h(T,P,x) + V \cdot H(T,P,y) - F \cdot HF(T,P,z) - Q = 0$$

- ◆ Thermodynamic equilibrium relations :

$$f_i^V(T,P,y) = f_i^L(T,P,x) \quad \text{or} \quad y_i = K_i(T,P,x,y) \cdot x_i$$

- ◆ Constraints :  $\sum x_i = 1$        $\sum y_i = 1$        $\sum z_i = 1$

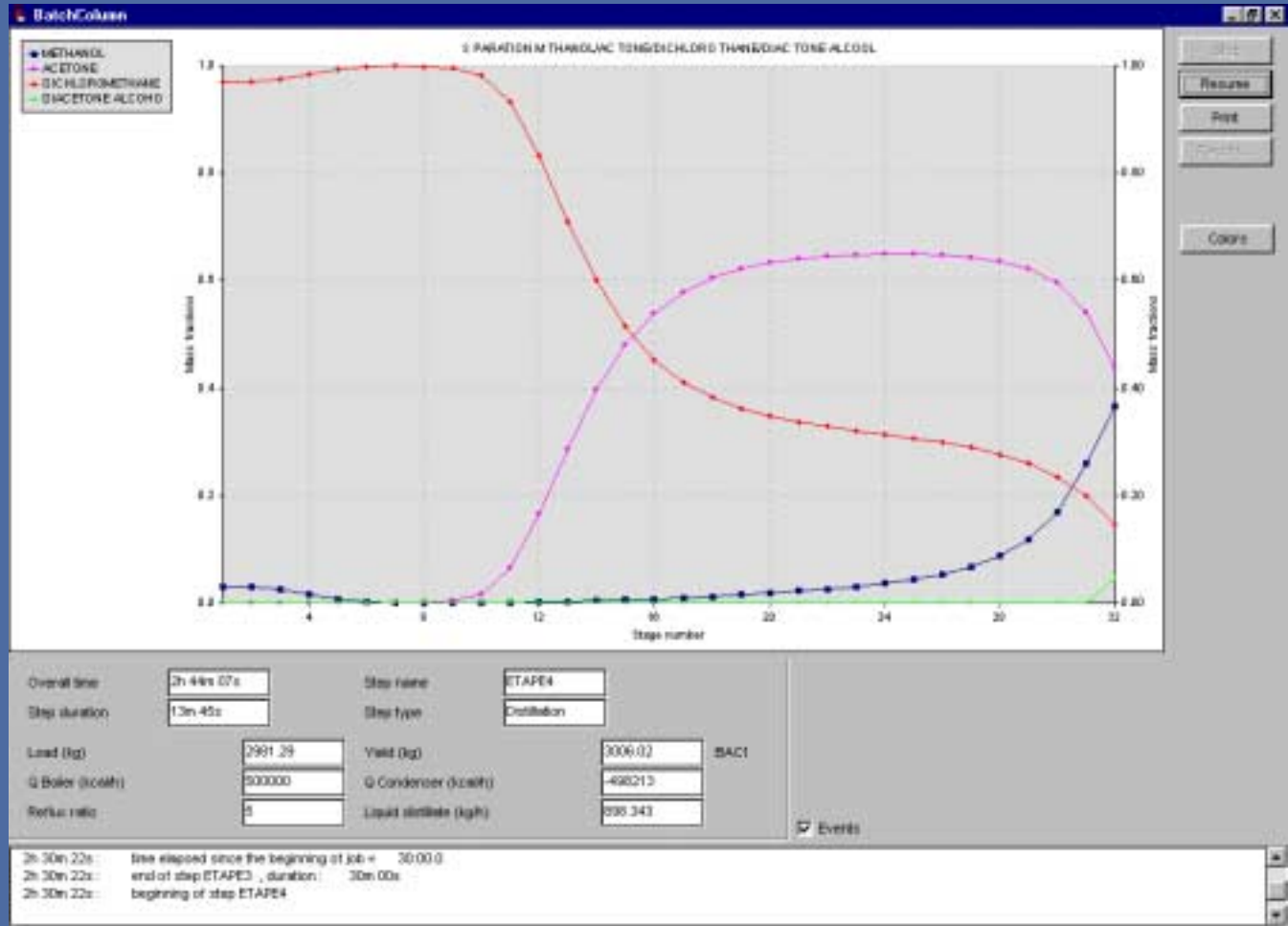
⇒ Thermodynamic models

⇒ Numerical methods

*Here a very simple model, but for a whole process including complex unit operations, the number of equations to be solved increase dramatically*



# Batch distillation columns simulator



Evolution of parameters with time



# Batch distillation columns simulator

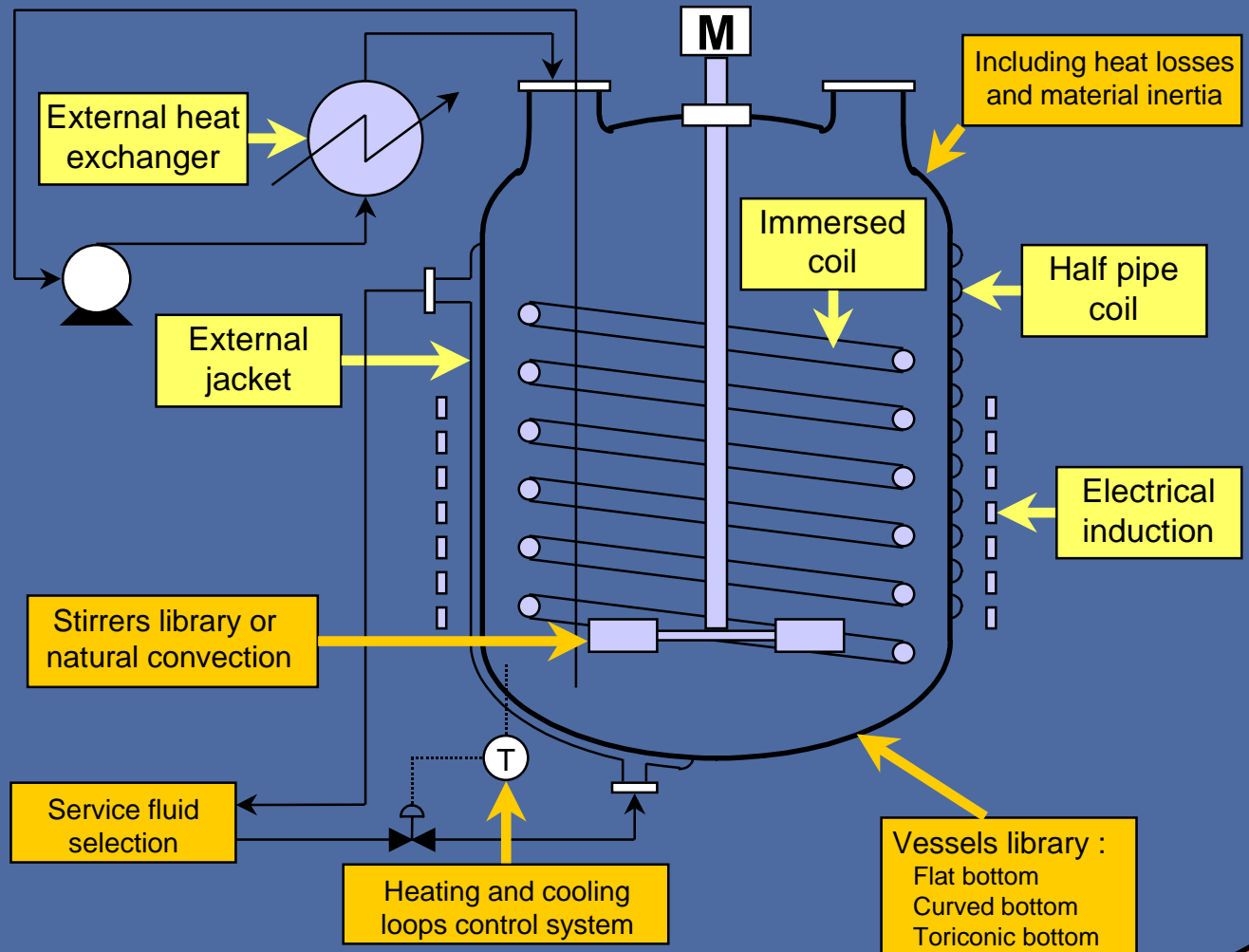
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## • Typical applications

- improvement of scale-up phases
- optimization of operating parameters: reflux ratio, boiler duty, cut-points,...
- adaptation of an existing column to a new separation
- definition of control strategies (control top ? bottom ?...)
- safety studies (what-if the cooling fluid at the condenser stops, what-if the vacuum pump fails, VOC release...)
- performance of cheap, quick and safe experiments
- storage of knowledge and understanding
- etc.



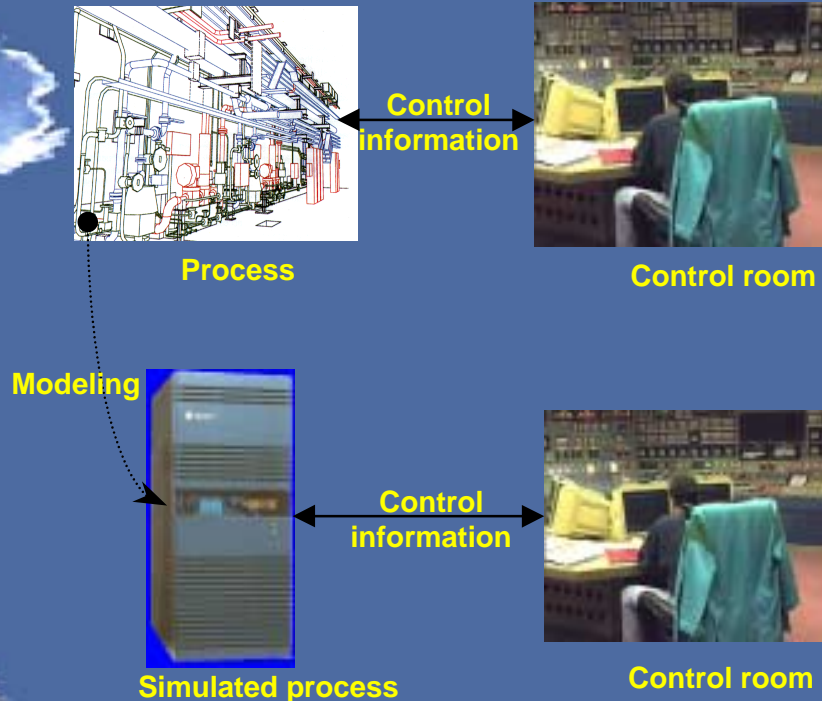
# Batch chemical reactors simulator



Several models complexity to address different objectives



# Dynamic simulation: example of operators training



- Trainee learn in a safe environment
- Instructor:
  - prepares several training scenarios
  - controls the simulation
  - introduces malfunctions
  - controls the reaction of the trainee
  - evaluates the trainee
- Training is:
  - accurate
  - complete (according to the plan)

## Main characteristics

High fidelity modeling of the whole process

Real time response

Realistic operating user interface (DCS)



# Thermodynamic properties and fluid phase equilibria calculations

The screenshot displays the ProfHy Plus 2.0 software interface. The main window is titled "Thermodynamics and Equilibria - Session 1" and shows a "Phase equilibria" section with various calculation options. The "Calculations Conditions" window is open, showing parameters for a mixture of Water and Ethanol. The "Bubble Dew Curves" window displays a graph of Bubble temperature (°C) and Dew temperature (°C) versus Molar fraction of Water. The "Results" window shows the composition of the mixture.

| Phase  | Component | Initial  | Final    | Step     | Points |
|--------|-----------|----------|----------|----------|--------|
| Vapor  | Water     | 1.000000 | 1.000000 | 0.000000 | 0      |
|        | Ethanol   | 0.000000 | 0.000000 | 0.010000 | 101    |
| Liquid | Water     | 0.000000 | 0.000000 | 0.010000 | 101    |
|        | Ethanol   | 1.000000 | 1.000000 | 0.000000 | 0      |

| Component | Molar fraction |
|-----------|----------------|
| ETHANOL   | 0.024918       |
| Water     | 0.029644       |
| Water     | 0.029451       |
| Water     | 0.030344       |
| Water     | 0.032231       |
| Water     | 0.034417       |
| Water     | 0.036511       |
| Water     | 0.039922       |
| Water     | 0.041399       |

**Bubble Dew Curves**

Graph: Aspect

Y-axis: Bubble temperature / Dew temperature (°C)

X-axis: Molar fraction of Water

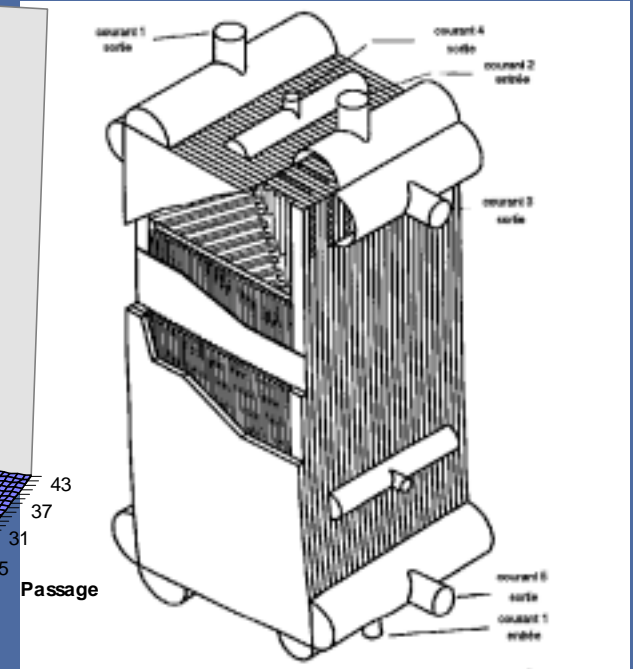
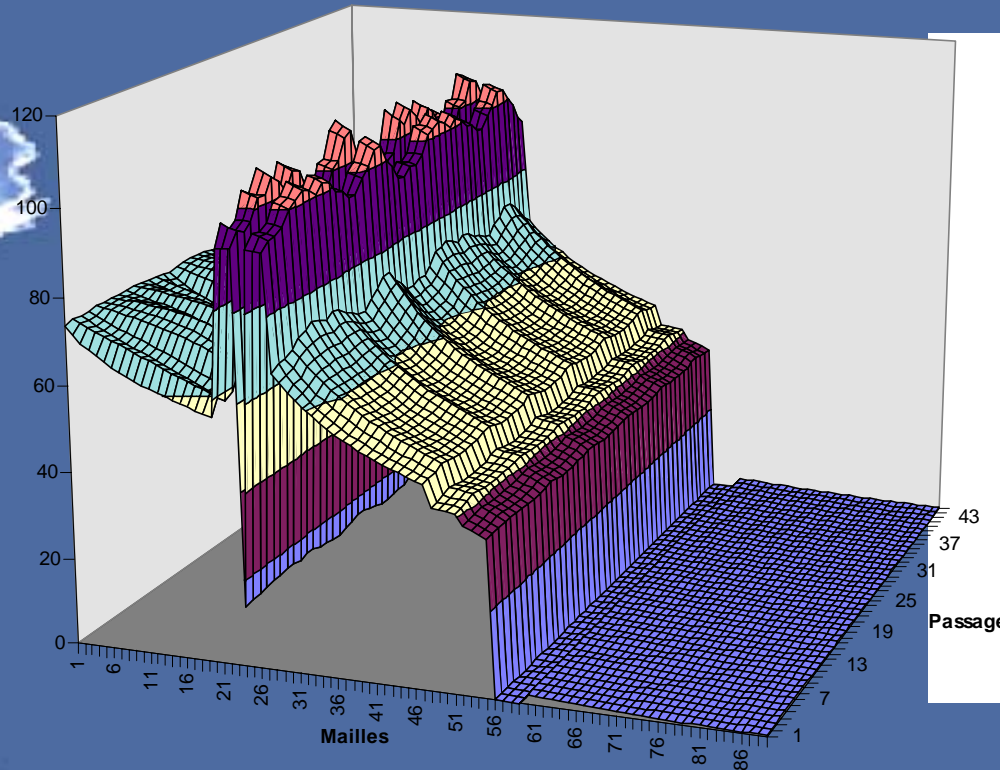
Legend: Bubble temperature (°C) (green line), Dew temperature (°C) (blue line)

Accurate physical properties are key to meaningful engineering results.  
A library of models is required (EOS, activity coefficient models,...)





# Equipment design and rating: example of a plate-fin heat exchanger

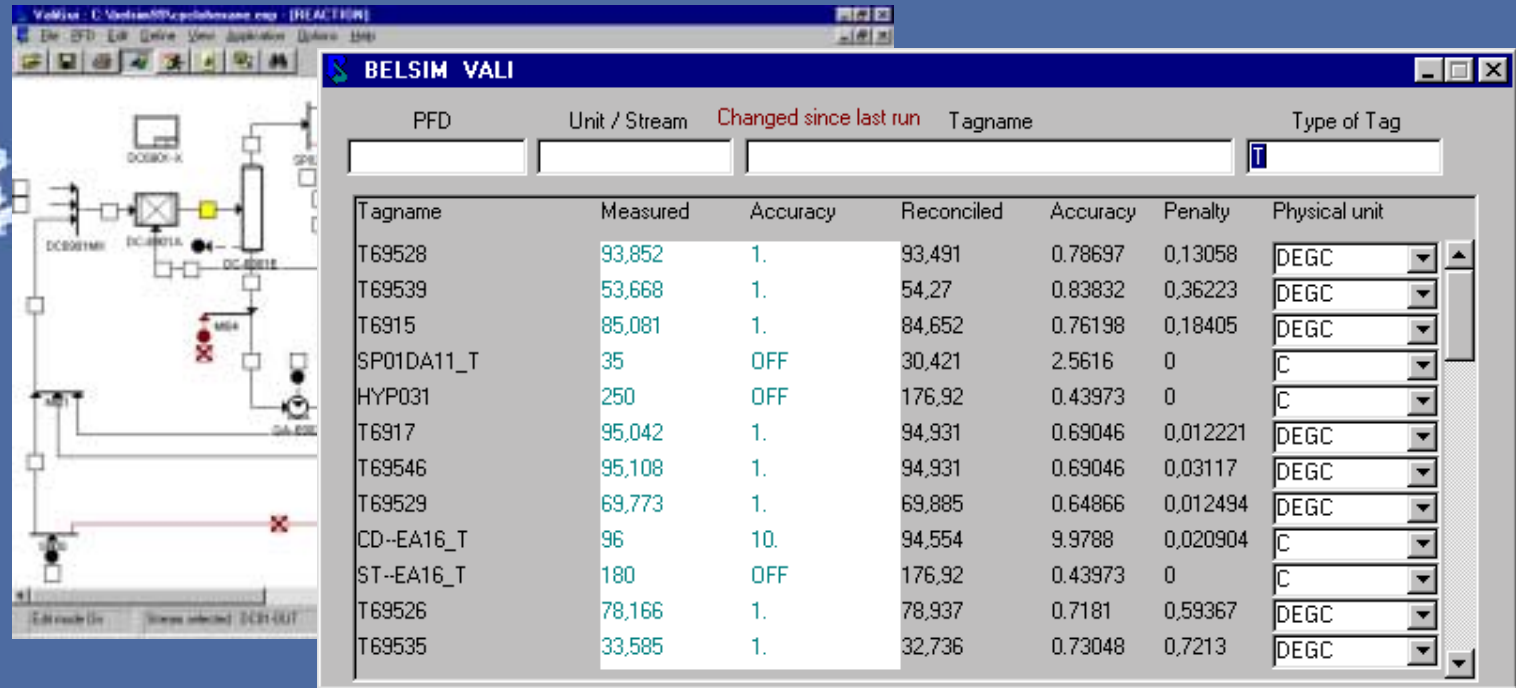


## Typical output

- comprehensive information on temperature, pressure drop and flow-rates
- equipment datasheet
- setting plan for exchanger layout
- thermal and mechanical design



# Data Validation



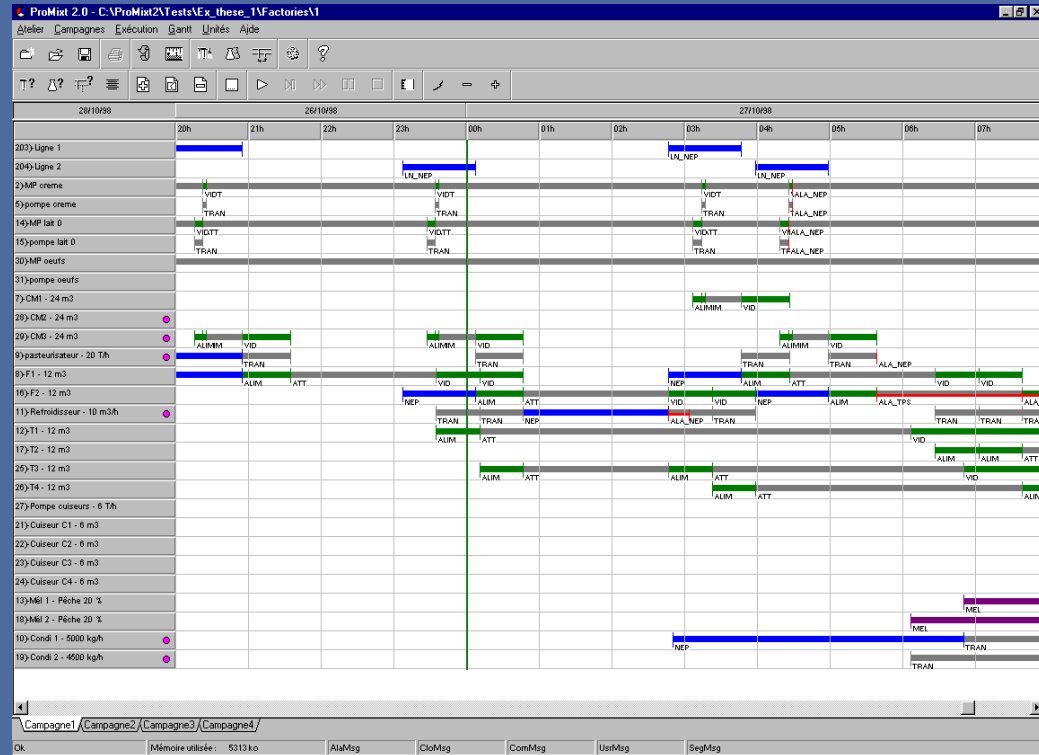
## Application

identification and quantification of instrumentation sensors errors  
access to data difficult or impossible to measure in the plant (soft sensors)  
reliable on-line monitoring of key process performance indicators  
safe operation closer to specifications and operating constraints  
required for on-line optimization

*The data produced by the DCS needs to be reconciled*



# Scheduling



- **Application**

- recipe-driven batch processes
- multi-product batch plants
- optimal schedule
- analysis of alternative plans

- **Typical results**

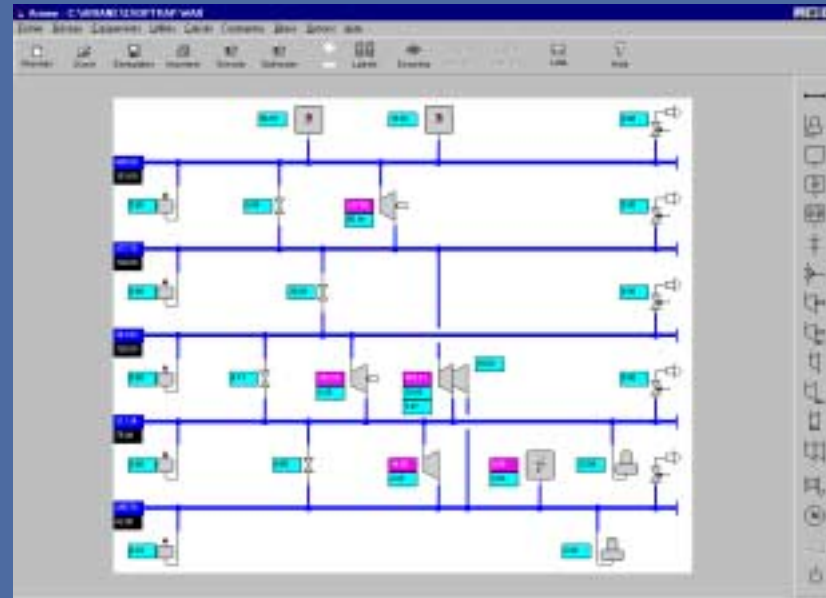
- Gantt chart graphics
- Use of manufacturing resources: equipment, raw material, utilities,...



# On-line process performance optimization

## Application

- optimize plant profitability
- increase throughput
- improve quality
- lower operating costs
- minimize feed and processing costs
- operate closer to process constraints
- reduce process and product variability



## Methodology

- Process modeling
- Validation of plant data
- Optimization of a technical or economical criteria
- The process model supplies new set points to the operators or directly to the DCS (closed-loop)



# Conclusions

- A huge variety of CAPE tools
- CAPE tools are widely and routinely used in process design
- Current trends are toward:
  - re-use of models along the process life-cycle
  - more complex models
- Ready to investigate how micro-phenomena modeling can interface with the macro-level
- Partial answer to the title question (*The Use of CAPE tools: Why, How, for What purposes ?*)

